

Policy History
Policy No. RS1
Approving Jurisdiction: President
Administrative Responsibility: Provost and Vice President Academic
Effective Date: April 10, 2012

Research Involving Human Participants Policy

CONTEXT AND PURPOSE

The purpose of this Policy is to promote ethical research practices, protect the interests of human participants, and describe institutional standards and procedures governing research involving human participants in compliance with the Tri-Council Policy Statement: Ethical Conduct of Research Involving Humans (second edition -2010, referenced herein as the “Tri-Council Policy Statement” or “TCPS”) ¹.

SCOPE AND LIMITS

This Policy applies to all research involving human participants conducted under the auspices of Kwantlen Polytechnic University (“Kwantlen”). Research conducted under the auspices of Kwantlen includes, but is not limited to, research where funds are provided through or administered by Kwantlen; research where the researcher’s affiliation with Kwantlen is explicit in contracts, reports or publications; research which utilizes Kwantlen resources (including accountable and professional development time); and research conducted by Kwantlen students as a component of their course or program requirements.

Unless expressly exempted, all research involving human participants requires prior approval by the Research Ethics Board, either by full or delegated review, as outlined in subsequent sections of this Policy.

STATEMENT OF POLICY PRINCIPLES

In carrying out its responsibilities, the REB will act at all times guided by the Tri-Council Policy Statement, or future standards as may come to stand in its place. In particular the REB will adopt, as Kwantlen’s

¹ At the time of drafting this Policy, the second edition of the *Tri-Council Policy Statement: Ethical Conduct of Research Involving Humans* is the national standard and adherence to it by Kwantlen is required by the tri-council funding agencies. It may be found at:
http://www.pre.ethics.gc.ca/pdf/eng/tcps2/TCPS_2_FINAL_Web.pdf

ethical principles, the guiding principles contained and defined within the Tri-Council Policy Statement. The guidelines in this Policy are based on the following three core principles:

- Respect for Persons
- Concern for Welfare
- Justice

DEFINITIONS

Refer to the related Procedures document for definitions which will enhance the reader's interpretation of this Policy.

RELATED POLICIES & LEGISLATION

AC8 Principles of Academic Freedom

HR1 Conflict of Interest

IM2 Freedom of Information and Protection of Privacy

IM4 Confidentiality

RS2 Integrity in Research and Scholarship

[Tri-Council Policy Statement: *Ethical Conduct of Research Involving Humans*](#)

RELATED PROCEDURES

Refer to RS1 *Research Involving Human Participants Procedure*